

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Razavi et al.

Serial Number:

10/691,809

Filing Date:

October 23, 2003

Title:

ETHYLENE POLYMERIZATION EMPLOYING BIS-IMINO

PYRIDINYL TRANSITION METAL CATALYST

**COMPONENTS** 

Group Art Unit:

Unknown

Examiner:

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Donna Dobson

Certificate of Mailing

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Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98 and §609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed on the attached Form PTO/SB/08. Copies of the listed references are submitted herewith.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above patents and other publications and to question the relevance and materiality of the

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Substitute for form 1449/PTO

Sheet 1

THE PROPERTY

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/691,809		
Filing Date	October 23, 2003		
First Named Inventor	Abbas Razavi		
Art Unit	Unknown		
Examiner Name	Unknown		
Attorney Docket Number	COS 944 (31223/00013		

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,404,344	09-13-1983	Sinn et al.	-
-		<sup>US-</sup> 4,767,735	08-30-1988	Ewen et al.	
		<sup>US-</sup> 5,155,080	10-13-1992	Elder et al.	
		<sup>US-</sup> 6,613,909 B2	09-02-2003	Song et al.	
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
	EP1125928 A1	08-22-2001	Idemitsu Petro.		
	EP1127897 A2	08-29-2001	E.I. Du Pont		
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Examiner	Date	
Signature	Cons	dered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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